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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Lenore M. Martin et al.

GROUP: Unknown

SERIAL NO: 09/936,972

EXAMINER: Unknown

FILED: September 18, 2001

FOR: OXAZOLE AND THIAZOLE COMBINATORIAL LIBRARIES

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
35 U.S.C. §371 IN THE UNITED STATES/ELECTED OFFICE (DO/EO/US)**

In response to the Notification of Missing Requirements, a copy of which is enclosed, enclosed is an executed declaration together with a check in the amount of one hundred and thirty dollars (\$130.00).

Regarding the request for a nucleotide and/or amino acid sequence complying with the requirements set forth in 37 C.F.R. §1.821-1.825, Applicant respectfully submits that the above-referenced application does not contain any nucleotide and/or amino acid sequences that are in accordance with the definition set forth in 37 C.F.R. §1.821.

Respectfully submitted,

Richard L. Stevens, Jr.

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JAN 23 2002

COPY

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
09/936,972	Lenore M Martin	4705

INTERNATIONAL APPLICATION NO

PCT/US00/07564

I.A. FILING DATE

03/22/2000

PRIORITY DATE

03/22/1999

Richard L. Stevens  
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 Boston, MA 02110

CONFIRMATION NO. 3036

371 FORMALITIES LETTER



\*OC000000006988561\*

Date Mailed: 10/29/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

MEG DOCKETING	
Action	① Missing requirement Deadline
Docket Date	① 12/29/01 ② 4/29/02
Docket By	Jim. D. Sub

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason (s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
    - For Rules Interpretation, call (703) 308-4216
    - To Purchase PatentIn Software, call (703) 306-2600
    - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
09/936,972	PCT/US00/07564	4705

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*Samuels, Gauthier & Stevens LLP*